# NCSU Center for Human Health and the Environment 2020 Pilot Award Guidelines

#### I. Purpose

The CHHE Pilot Project Program (PPP) fosters collaborations, increases interdisciplinary research and aids in the career development of early stage investigators to advance environmental health research. The overarching goal of CHHE's PPP is to provide support for innovative individual, collaborative and multidisciplinary research aimed at understanding the adverse impacts of environmental factors on human health and disease. The CHHE PPP encourages multidisciplinary/collaborative approaches and the use of CHHE's cores and resources.

Pilot project funding is awarded to collect preliminary data that; 1) advances the mission of CHHE and the National Institute of Environmental Health Sciences (NIEHS) Strategic Plan and 2) supports applications for external funding from the NIEHS, other NIH Institutes and Federal agencies. The PPP supports high quality basic, applied, clinical and public health research, especially in areas relevant to the 4 CHHE research interest groups which are: 1) Emerging Contaminants, 2) Environmental Epigenetics and Genetics (GxE, ExE interactions), 3) Pulmonary Health and 4) Behavioral and Neuroscience. CHHE PPP also supports high quality environmental health research outside of its thematic areas as well as high risk/high gain research addressing environmental health concerns. CHHE PPP encourages applications from CHHE members as well as non-member faculty from NC State University, ECU Brody School of Medicine and NCCU.

The PPP also accepts applications between CHHE members and community partners that address community issues that align with the Center's thematic research interest areas. CEC Co-Directors will work with PPP and CHHE investigators to identify and connect stakeholders with mutual interests in specific environmental problems and vice versa. This mechanism will provide opportunities for partnerships and aid in the development of community engaged projects with the PPP.

## II. Funding

Individual awards will range upwards to \$25,000 (direct cost) for one year. The CHHE expects to fund up to 8 proposals. The final number and amount of awards will depend upon the quality of the proposals received, their relevance to the CHHE mission, and the available funding.

A budget appropriate for the ideas and work proposed will be part of the review criterion. NC State investigators must enter grant information into PINS (or your respective grants management office) and all pilot project applicants must include both direct (≤25K) and indirect costs (your institutions approved rate) in their budgets. Expenditures will begin at specified start times but all appropriate animal and human subject approvals must be in place before spending can begin. Funds must be expended within the time limits set by the award notice. Final reporting on progress, significance, and impact is required (details to be provided upon award notification).

## **III. Eligibility**

All NC State, ECU and NCCU faculty are eligible to apply.

An investigator (acting as principal investigator) may submit only one proposal per round. Investigators can be co-investigators on multiple projects or principal investigator on one project and co-investigator on one or more other projects.

For review and reporting purposes, each award will have only one PI of record. Functionally, projects may have co-PIs.

## IV. Application

Applications for the Spring 2020 PPP are due at 5 pm EDT on February 28, 2020. Grant information must be entered in PINS for NC State investigators and complete applications should be submitted electronically as a single pdf file to Jackie Broughton (jackie\_broughton@ncsu.edu). To be eligible for review, each application

#### must consist of:

- 1. Cover page with project title, investigator(s), degrees and academic ranks, department and college affiliations, and email addresses.
- 2. Project abstract of 250 words or less.
- 3. A specific aims page
- 4. Research plan limited to three pages which include a) significance, b) innovation, and c) approach (approach should be 1.5-2 pages).
- 5. Description of 250 words or less detailing how results from the pilot project will support an NIEHS grant application or an application to another environmental health agency.
- 6. Human and/or vertebrate animal sections following NIH guidelines (not required for submission but will be required if project is funded).
- 7. Budget using NIH Form Page 4: Detailed Budget for Initial Period
- 8. http://grants.nih.gov/grants/funding/phs398/phs398.html
- 9. NIH biosketches for all key personnel (must use new format)
- 10. http://grants.nih.gov/grants/funding/phs398/phs398.html
- 11. Letters of support if applicable.

Applications missing one or more of the required components will be considered incomplete and will not be reviewed. IACUC and IRB approvals are "Just in Time" and are not required at the time of application submission. Funding must be requested for a project that can be completed in the designated period of award. No-cost extensions will be rare exceptions. Funds may be budgeted for any of the standard categories and for purposes deemed necessary for the successful execution of the proposed project. Additionally, all proposed expenses must conform to the general policies of NC State. However, there are several cases where restrictions and additional scrutiny apply:

- 1. Faculty salary will not be supported;
- 2. Travel. Requests for travel support should be limited to funds directly related to project performance. Travel to conferences and meetings will not be supported; and
- 3. Equipment. Requests for equipment should also be limited to funds directly related to project performance and will be scrutinized for need and duplication within the CHHE. Funds may be budgeted for any of the standard categories and for purposes deemed necessary for the successful execution of the proposed project. However, there are several cases where restrictions and additional scrutiny apply.

Applicants must consult with facility cores for cost estimates and applicants are strongly encouraged to consult with CHHE's Environmental Data Science Bioinformatician, Dereje Jima (ddjima@ncsu.edu), prior to submission to ensure the studies are sufficiently powered for the desired results. All required Institutional Animal Care and Use Committee (IACUC) and Institutional Review Board (IRB) approvals must be in place before pilot projects can be initiated. The Integrated Health Science Facility Core (IHSFC) will provide assistance in the preparation of the IRB application and must be consulted before submission of pilot project to ensure a complete understanding of what is required by the IRB if the project is funded.

Extensions of the deadline will not be granted.

Supplementary or additional materials will not be accepted after the submission deadline.

Use at least 0.5 inch margins, single or line spacing; and no smaller than 11 pt Times Roman or Arial font or its equivalent. Use of NIH forms is not required (except for the budget and biosketches). For example, you do not need to submit an NIH face page.

#### V. Review

The review process will occur in two stages, an initial compliance check for relevance, completeness, and eligibility and a second stage for expert peer review. The initial assessment of relevance for each application will be based upon the goals and mission of the CHHE to expand EHS research, completeness of the application, justification of budget and how the project will be used to support an extramural grant application, and eligibility of the PI. Each application will be marked as acceptable for review or not by a subcommittee composed of the PPP Director, CHHE Director and Deputy Director. Applications deemed responsive in the initial review stage will be sent for expert peer review.

Each application will be independently reviewed by two expert peer reviewers. In most cases, one reviewer will be an internal reviewer drawn from the CHHE membership, IAC Committee, or others at NC State with relevant expertise. The external reviewer will be identified by the PPP director with input from the PPP Advisory Committee and the use of PubMed to search for relevant experts in the research domain. These reviewers will evaluate the scientific and technical merit of each project, and likelihood that the successful completion of the project will support an extramural grant application. Expert reviewers will be asked to assess the assigned application(s) using the NIH 1-9 scale (see Review Criteria), and supporting information from NIH regarding the numerical values will be provided to reviewers.

Evaluation criteria are weighted to reward high quality, top-notch science that has potential for extramural funding, <u>particularly from NIEHS</u>. The primary review factors that will receive favorable consideration in funding priority are:

- High quality research
- Research that is relevant to CHHE's thematic research interest areas (see Section I Purpose) and mission or special emphasis CHHE RFP
- Evidence of collaborative and multidisciplinary research
- Effect on career development of early stage investigators
- Use of core facilities and CHHE resources
- Likelihood the project will lead to external funding from the NIEHS, other NIH Institutes or other Federal
  agencies
- Involvement of community stakeholders (when appropriate)

CHHE will use the NIH scoring system with some modifications. The five primary criteria are described below as is the NIH scoring system that will be used. The intent of the review process is to fund the best applications as identified, but other factors may also affect final award decisions. Other review factors may include but are not limited to: the multidisciplinary nature of the research project; the applicant PI's overall past productivity; potential balance across colleges; early stage investigators in EHS and use of CHHE's core facilities. Applicants (with exception of triaged applications) will receive a final score/ranking and a brief critique. Final decisions will be announced in early April 2020.

## VI. Requirements

Funding must be used for a project that can be completed in the designated period of award. The earliest start date will be mid to late April 2020 with 12 months to expend awarded dollars. Our P30 CHHE grant is on a 4/1-3/31 fiscal year so if pilot project crosses P30's fiscal year a no-cost extensions across fiscal years will need to be requested by PI of the pilot project. Contact Jackie Broughton for assistance.

Prior to actual funding, all awarded projects that involve animals or human subjects must be reviewed and approved in accordance with the NCSU's general assurances and HIPAA. Projects involving human subjects must be approved by the Institutional Review Board (IRB). Projects involving animals must be approved by the Institutional Animal Care and Use Committee (IACUC).

A summary progress report shall be submitted within three months after the end date of support. The summary progress report should assess the project's initial statement of innovation and impact.

To assess the longer-term impact, principal investigators may be asked to provide a summary progress report update for up to two years after the end of the funding.

Awardees will be expected to present their results at a CHHE event. All manuscripts, abstracts, posters, and presentations should acknowledge support from the CHHE as follows: Research reported in this publication was supported in part by NIEHS under award number P30ES025128.

For more information contact Pilot Project Program Leader; James Bonner <a href="mailto:jcbonner@ncsu.edu">jcbonner@ncsu.edu</a> 919-515-8615

#### **Review Criteria**

**Overall Score.** Reviewers will provide an overall impact/priority score to reflect their assessment of the likelihood for the project to lead to an extramurally funded grant that will exert a sustained, powerful influence on the research field(s) involved, in consideration of the following review criteria, and additional review criteria (as applicable for the project proposed).

**Scored Review Criteria.** Reviewers will consider each of the review criteria below in the determination of scientific and technical merit, and give a separate score for each. An application does not need to be strong in all categories to be judged likely to have major scientific impact. For example, a project that by its nature is not innovative may be essential to advance a field.

**Significance.** Does the project address an important problem or a critical barrier to progress in the field of environmental health especially in areas relevant to the 4 CHHE research interest themes which are: 1) Emerging Contaminants, 2) Environmental Epigenetics and Genetics (GxE interactions etc), 3) Pulmonary Health and 4) Behavior and Neuroscience? If not, in these thematic areas, is the proposal of high scientific merit and/or a high risk/high gain proposal addressing environmental health concerns?

**Innovation** Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed?

**Approach** Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Does the project use CHHE core facilities and resources?

**Impact** Does the project have strong potential for NIH extramural funding, particularly from the NIEHS. Does the project have the potential to increase collaborations and multidisciplinary research? If an early career investigator is PI on the project, will this project have a significant positive impact on the career development of this individual?

**Feasibility** Given the facilities, budget, time, investigative team expertise and other resources, can the project's aims be accomplished in the time allotted?

Score	Descriptor	Additional Guidance on Strengths/Weaknesses
1	Exceptional	Exceptionally strong with essentially no weaknesses
2	Outstanding	Extremely strong with negligible weaknesses
3	Excellent	Very strong with only some minor weaknesses
4	Very Good	Strong but with numerous minor weaknesses
5	Good	Strong but with at least one moderate weakness
6	Satisfactory	Some strengths but also some moderate weaknesses
7	Fair	Some strengths but with at least one major weakness
8	Marginal	A few strengths and a few major weaknesses
9	Poor	Very few strengths and numerous major weaknesses

Minor Weakness: An easily addressable weakness that does not substantially lessen impact

Moderate Weakness: A weakness that lessens impact
Major Weakness: A weakness that severely limits impact